



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/733,896         | 12/12/2000          | Carlos O. Pinzon      | 5725.0806              |

CONFIRMATION NO. 5467

## FORMALITIES LETTER



\*OC000000005797106\*

FINNEGAN, HENDERSON, FARABOW  
GARRETT & DUNNER, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 02/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

H-T

Customer Service Center

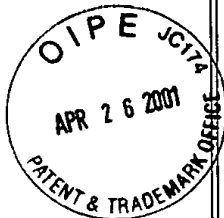
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/27/2001 HBERHE 00000074 09733896

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**PATENT**  
Customer Number: 22,852  
Attorney Docket No. 05725.0806-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
**Carlos O. PINZON et al.** )  
)  
Application No.: 09/733,896 ) Group Art Unit: 1711  
)  
Filed: December 12, 2000 ) Examiner: Unassigned  
)  
For: COMPOSITIONS CONTAINING )  
HETEROPOLYMERS AND OIL- )  
SOLUBLE POLYMERS AND )  
METHODS OF USING SAME )

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

We are forwarding herewith a copy of a Filing Receipt which has been received from the U.S. Patent and Trademark Office. There is an error in the Filing Receipt which is indicated in highlight on the copy. The city of residence for the first named inventor is incorrect. The correct information is shown in black ink adjacent the erroneous information.


We request that a corrected Filing Receipt be supplied as soon as possible.

If any fees are due in connection with the filing of this paper, please charge our deposit account 06-0916.

Respectfully submitted,

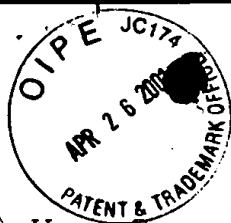
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Anthony M. Gutowski  
Reg. No. 38,742

Dated: April 26, 2001

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/733,896         | 12/12/2000  | 1711         | 7092          | 5725.0806       |          | 299        | 20         |

CONFIRMATION NO. 5467

## FILING RECEIPT



\*OC000000005797105\*

FINNEGAN, HENDERSON, FARABOW  
GARRETT & DUNNER, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 02/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

New Milford

Carlos O. Pinzon, Hackensack, NJ;  
Paul Thau, Berkeley Heights, NJ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/23/2001

FINNEGAN, HENDERSON, FARABOW  
GARRETT & DUNNER, L.L.P.

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Compositions containing heteropolymers and oil-soluble polymers and methods of using same

## Preliminary Class

528

760  
3x10/1  
A1



Section \$

PATENT

Customer No.: 22,852

Attorney Docket No. 05725.0806-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
**Carlos O. PINZON et al.** )  
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Application No.: 09/733,896 ) Group Art Unit: 1711  
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Filed: December 12, 2000 ) Examiner: Unassigned  
)  
For: COMPOSITIONS CONTAINING )  
HETEROPOLYMERS AND OIL- )  
SOLUBLE POLYMERS AND )  
METHODS OF USING SAME )

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Attention: Box Missing Parts**

Sir:

In response to the communication of February 26, 2001, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, the required fee of \$130.00, and a copy of the Notice to File Missing Parts.


Please associate the enclosed Declaration with the above identified application.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Anthony M. Gutowski  
Reg. No. 38,742

Dated: April 26, 2001

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